

# **ABSTRACT**



# **KEYWORDS**

Physiological Metrics, Cognitive Load, Driving, N-back Task, Meta-analysis

# **1. INTRODUCTION**



 internet browsing) and the prevalence of the bring-in smart devices (e.g., smartphones) 2 may also increase the workload of drivers.

 The high cognitive load in driving, either as a result of driving tasks or non-4 driving-related tasks, has been found to be closely related to driving safety in research environments (e.g., in driving simulators or instrumented vehicles). For example, a high cognitive load may lead to delayed responses to emergency events (Harbluk et al., 7 2007), reduced visual search scope, leading to a visual tunnel effect (Recarte & Nunes, 2000)), decreased ability to anticipate hazards (Muhrer & Vollrath, 2011), and increased reaction times (Du et al., 2020) and impaired performance (Melnicuk et al., 2021) in takeover events during assisted driving. Thus, estimating drivers' high 11 cognitive load can be a potential approach to improve driving safety, both in vehicles 12 with and without driving automation.

 As an intrinsic state, cognitive load can hardly be measured objectively and 14 directly (e.g., the questionnaire is direct but subjective, while eye-tracking measures are objective but indirect). Moreover, unlike distracted driving and fatigue, the state of high cognitive load is not easily discernible from the normal driving state, as it can be an integral part of the driving task. In the domain of driving, the cognitive load can be evaluated using four different types of measures, i.e., subjective measures, such as the NASA-Task Load Index (NASA-TLX) scale (Hart & Staveland, 1988); physiological measures, such as the electrocardiogram (ECG), electroencephalogram (EEG), respiration, and electrodermal activity (EDA); eye-tracking measures, such as pupil size;



 et al (2017). Lastly, not all metrics are responsive in differentiating different levels of cognitive load. For example, the heart rate (HR) was able to differentiate between median to high levels of cognitive load but showed no difference between low to median levels of cognitive load (Ferreira et al., 2014). However, the feasible range of different cognitive load measures has not been systematically analyzed, which hinders the development of different algorithms targeting different levels of cognitive load, using minimum types of measures.

 Thus, it is necessary to quantify the relationships between the physiological and eye-tracking metrics and the driver's cognitive load levels. Given that not all metrics are responsive to the whole range of cognitive load, the meta-analysis needs to be conducted for different ranges of cognitive load levels and a metric or task that can consistently impose different levels of cognitive load to drivers has to be selected. Traditionally, subjective responses such as NASA-TLX were regarded as the ground truth of cognitive load levels in previous studies (Chen et al., 2022; He et al., 2019; Hart & Staveland, 1988). Although NASA-TLX allows within-subject comparisons, individual differences in self-reported scores may exist and we can hardly compare the NASA-TLX scores across participants and experiments (Muth et al., 2012). Thus, in this study, we adopted a more standard task to label the levels of cognitive – the n-back task.

 The n-back task is mainly a working memory task and has been proven as an effective manipulation of cognitive load in vehicles (Mehler et al., 2012a; von





2 **Fig. 1.** Demonstration of a typical n-back task procedure for  $n = 0$ ,  $n = 1$ , and  $n = 2$ .

3 Therefore, in this study, based on a meta-analyses approach, we systematically 4 analyzed the changes in drivers' physiological and eye-tracking metrics in response to 5 the variation in cognitive load during driving, as defined by the levels of the n-back 6 tasks. All metrics explored in this study were found to be associated with the cognitive 7 load at least in some of the previous studies. To the best of our knowledge, though 8 previous meta-analysis validated the effectiveness of n-back task in imposing high 9 cognitive load in drivers (von Janczewski et al., 2021), no research has quantified the 10 relationship between drivers' cognitive load and their physiological and eye-tracking 11 measures. The summary of the abbreviations, descriptions, and units of the 12 physiological and eye-tracking metrics mentioned in the text is shown in Table 1. 13 In addition, given that the cognitive load is a multi-dimensional concept and the 14 settings in different studies can affect the responses of physiological and eye-tracking 15 measures (Nilsson et al., 2022). we adopted meta-regression to account for 1) the 16 influence of measurable individual differences so that future driver monitoring systems 17 may take adaptive strategies; 2) and artificial experiment settings so that we can better

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- 1 version, modality of n-back stimulus and response, and the time interval between
- 2 stimuli on these associations were explored.



LFun The power or intensity of low-<br>frequency electrical activity ms<sup>2</sup>

Low frequency power



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### 2 **2. METHOD**

#### 3 **2.1 Literature search and study selection**

 We adopted the approach based on the PRISMA statement (Moher, 2009), a comprehensive guideline for reporting items in meta-analysis. An extensive literature search was conducted, covering articles published up until Feb 2024. The study selection process is summarized in Figure 2. In our search, the title, abstract or keywords must include ("driver" OR "driving" OR "automobile" OR "automated" OR "vehicle" OR "car") and ("cognitive load" OR "workload" OR "working memory" OR "mental workload"); and the full text must have "N-back" and ("physiological" OR "eye" OR "electroencephalogram" OR "electrocardiogram" OR "respiration" OR "electrodermal activity" OR "galvanic skin reaction" OR "skin conductance" OR "pulse rate variability" OR "temperature").





**Fig. 2.** Literature review process based on the PRISMA method.

#### **2.2 Inclusion and exclusion criteria**

 The inclusion criteria for studies were as follows: (1) The n-back task should be a task secondary to the driving task of four-wheel cars and only empirical studies conducted on real roads or in simulated driving environments were included. (2) The study should have at least two different n-back levels or one n-back level and a baseline without a secondary task. (3) The statistics of the physiological or eye-tracking measures must be reported or could be obtained by contacting the authors. (4) The physiological and eye-tracking measures associated with the n-back level should be independent of other tasks in the vehicle so that the cognitive load was only induced by the n-back task. (5) Due to language barriers, only publications in Chinese and English were included. Publications that did not meet any of the above criteria were excluded

 from the analysis. Initial determinations were made based on the abstracts, followed by a thorough examination of the full texts based on the inclusion criteria. Ultimately, 18 studies were kept for further analysis.

**2.3 Data extraction**

 The following information was extracted from each publication: (1) Meta- information of the study (i.e., title, author, and publication year); (2) Descriptions and measures of the cognitive workload; (3) sample characteristics (i.e., sample size, mean age, and characteristics of participants); (4) experimental conditions (i.e., automation level, experimental environment, simulator fidelity); (5) characteristics of n-back tasks (i.e., modality of the stimulus and response, and time interval between stimuli) and (6) associations between the physiological and eye-tracking metrics and n-back levels or the raw values of the metrics under each experimental condition.

 These data were extracted and coded independently by two doctoral students (the first two authors). To ensure a consistent understanding of the coding scheme between the two coders, we conducted a preliminary "coding trial" phase. During this phase, the two coders independently coded five articles and discussed any discrepancies in coding to reach a consensus on the coding scheme. Necessary modifications and refinements were made to the coding manual based on the issues encountered during this phase.

**2.4 Data processing**

 In the meta-analyses, the Pearson correlation coefficient (*r*) was used as the effect size for each study. We followed a systematic eight-step process to analyze the data for







 Then, intergroup homogeneity was performed and heterogeneity coefficients were computed to assess the intergroup effects (Viechtbauer, 2010). For significant moderators, sub-group meta-analyses were conducted. Subsequently, we proceeded to 18 assess the homogeneity of effect sizes within a specific group  $(Q_W)$  and the heterogeneity across different sub-groups (*QB*) (Lipsey & Wilson, 2001). The moderator analyses were conducted using Stata 17.

 It should be noted that to facilitate interpretation, when reporting the results, the *Z<sub>r</sub>* was transformed back to *r*. According to Cohen (1988),  $|r| \le 0.3$  denotes a small 3 correlation,  $0.3 \le |r| \le 0.5$  denotes a medium correlation, and  $|r| \ge 0.5$  denotes a large correlation.



13 for 35 records, and 18 records that met our criteria were kept for meta-analyses.



#### 1 **Table 2**. A summary of the identified literature



1 Note: "-" means that the information has not been mentioned in the corresponding paper. In the table, N, 0B, 1B, 2B, and 3B standard for baseline without n-back task, 1-

2 back task, 2-back task, and 3-back task, respectively. L0, L1, L2, and L3 donate SAE Level 0, Level 1, Level 2, and Level 3 automation, respectively.

#### **3.1 Descriptive statistics**

 Table 2 provides descriptive information of all studies included in the meta- analyses. Overall, a total of 881 participants were involved in the experiments and experienced various levels of n-back tasks. Table 3 provides a summary of correlation coefficients between the metrics and cognitive load levels in all studies included for meta-analyses. It was found that cognitive levels induced by 1-back and 2-back tasks were most intensively investigated. At the same time, among all psychological and eye- tracking measures, the relationship between heart measures and cognitive load levels attracted the most attention in previous research, with HR attracting the most attention among heart-related metrics. Moreover, the total sample sizes of studies for a single meta-analysis varied widely, ranging from 38 to 711 participants. Finally, it should be noted that substantial differences in correlation coefficients of the metrics have been observed between different studies, confirming the need for further meta-analyses. At the same time, given the small number of studies that could be identified, and considering the task difficulties, the 0-back (which only requires participants to simply repeat what they hear immediately) and baseline without n-back were aggregated as 17 low task load (L); the 1-back was labeled as medium task load (M); and the 2-back was

categorized as high task load (H).



### 1 **Table 3.** Correlation coefficients between the physiological and eye-tracking metrics and cognitive load levels in all studies.



1 Note:"vs." denotes the act of conducting pairwise comparisons, *n* denotes the number of studies included.

**SCENERAL** 

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### **3.2 Results for meta-analyses**

 The results of the meta-analyses are presented in Table 4. A majority of the 3 analyses in Table 4 have a significant unexplained variance  $(I<sup>2</sup> > 50\%)$ , indicating the 4 necessity for moderators' analyses. The forest plots of the meta-analysis in Table 4 are provided in Appendix 1.



1 **Table 4.** Meta-analyses' results of the association between the physiological metrics and cognitive load levels.



1 Notes: L denotes the baseline condition and 0-back task, 1B denotes the 1-back task, and 2B represents the 2-back task, whereas "vs." denotes the act of conducting pairwise

comparisons, *k* denotes the cumulative sample size, *n* denotes the number of studies included. The bolded pooled *r* indicates significant  $(p<.05)$  metrics. The bolded  $I^2$ 

3 indicates the existence of heterogeneity of the metrics.

#### **3.3 Moderator analysis**

 Given that the inclusion of a sufficient number of studies is required for conducting 3 meta-regression, we specifically focused on meta-analyses with an  $I^2$  greater than 50% or *p*-value in heterogeneity tests smaller than .05 and a minimum of three included studies. The results of the meta-regression model are summarized in Table 5. In addition, inter-group homogeneity tests were conducted on significant moderating factors (*p*<.05). Table 6 displays the weighted average effect size *Zr* and *r* (as well as their 95%CI) for each subgroup, as well as the *QW* value that captures the overall 9 heterogeneity within all the sub-groups of one moderator. Additionally,  $Q_B$  values are listed for each moderating variable, indicating the presence of heterogeneity among subgroups for each moderating factor (Lipsey & Wilson, 2001). The forest plots 12 regarding the aggregated  $Z_r$  for each subgroup analysis are provided in Appendix 2.

#### **L vs. M L vs. H M vs. H Moderators** *n Coefficient n Coefficient n Coefficient p p* Simulator fidelity  $0.20$  .3 7 0.1 9 . 4 - - Modality of stimuli and responses 0.04 . 9 5 7 -0.0 3 . 9 - -  $\overline{\phantom{a}}$ **.02**  $-0.14$ n -back version **6 -0.46** 7 . 6 **PS** 6 0.13  $-0.03$ Inter -stimulus interval . 8 7 . 9 Percentage of males 6 0.0 0 1 .09  $|0.0|$ 1 .3 - - Mean age 0.01  $0.04$  $.07$ . 7 **- -** Automation level .09 **0.33 <.0001** 1 8 0.09 **1 7** - -  $\overline{0.32}$  .06 Experimental environment 18 8 0.06 . 6 1 - - Simulator fidelity 1  $0.17$ . 5 9  $-0.50$ 4 - n -back version 1  $0.12$  $\overline{2}$  $17$  $0.20$ . 2 **HR** Modality of stimuli and responses 0.08 **7 0.18 .04** 1 .09 **1** - -  $0.10$  .08  $0.16$ Inter -stimulus interval - - Percentage of males **5 0.01 .02** 6 0.002 . 4 **1** - - 16  $-0.003$ Mean age 1 8 1 7 -0.009 . 2 - - Automation level **14 0.22 <.0001** - - - **10 0.21 .005** Simulator fidelity  $0.15$ ▼  $0.19$  .6 . 7 - - - Experimental environment  $14$   $0.25$   $0.07$   $10$   $0.17$ n-back version and the set of the s 1 0 0.15 **EDA** Modality of stimuli and responses **14 0.22 <.0001** - - - **10 0.22 .001** Inter -stimulus interval 5  $-0.03$  .7 - - - 5 -0.09 Percentage of males 12 0.01 0.07 - - - 9 0.01 Mean age  $\sim$  14  $-0.002$  .5  $10$  $-0.002$ - -  $-0.02$  .97 Automation level 4 - - - **- -** Simulator fidelity  $0.03$  .97 - - **-**  n -back version 4  $-0.41$  .052 **RR** Modality of stimuli and responses  $0.03$  .97 - - - **- -**  $-0.1$ Inter -stimulus interval - - - 4 . 9 **- -** Percentage of males 0.01 - - - 4 . 6 **- -** Mean age - 4 0.01 - - . 9 **- -**

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#### 1 Table 5. Results of meta-regression models.

1 Notes: In this table and the following tables, *n* denotes the number of studies included; "-" means that there is no need for subgroup analysis, as the meta-analysis results did 2 not demonstrate the presence of heterogeneity (see Table 4). The significant metrics ( $p$ <.05) are bold

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#### 4 **Table 6.** Results of sub-group moderator analyses.





1 Notes: The bolded pooled *r* indicates significant (*p*<.05) associations; The bolded  $Q_W$  indicates the existence of heterogeneity of the metrics.

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# **4. DISCUSSION**









#### **4.2 Moderators**





#### **4.3 Limitations and Future Directions**

 The current investigation presents several limitations. Firstly, the included investigations only considered the cognitive load imposed by the n-back tasks. Although n-back tasks have been widely adopted as a method for inducing cognitive load in traffic research. (Janczewski et al., 2021), other cognitive load induction tasks, such as mathematical tasks, may also be considered if their difficulty levels are quantified (Yang et al., 2023). Second, four studies were excluded from the present investigation due to the absence of required information for meta-analysis (Barua et al., 2017; Chihara et al., 2020; Solovey et al., 2014; Zhen et al., 2016). Consequently, though the meta-analysis based on a small sample size may still provide insights into potential trends and differences (Zheng, 2013), conclusions from our study should still be interpreted with caution, given that the associations between various metrics and cognitive load identified in our study are based on a limited number of studies. For similar reasons, the current investigation examined only a few potential moderators and some subgroups in the subgroup analyses contained relatively small numbers of studies. Finally, it should be noted that most of the research was conducted in simulators, and 17 given the nature of the n-back task, some of the measures may be different from what 18 they are in a natural driving condition (e.g., the response modality of the n-back task instead of cognitive load may have a strong influence on respiration rate and the 20 complex lighting condition on a public road may shadow the influence of cognitive load

1 on pupil size). Future research should be re-conducted when a larger sample size becomes available.

### **5. CONCLUSIONS**

 Despite the extensive research on the use of physiological and eye-tracking measures to assess cognitive load in driving, researchers have not reached a consensus on their associations with cognitive load. Based on a systematic review and a meta- analysis, for the first time, we quantified the association between physiological and eye- tracking metrics and cognitive load in driving. We identified four types of metrics, i.e., sensitive-to-low ones that can only differentiate the low (no secondary task or 0-back) to medium (1-back) level of cognitive load (including the power spectrum of *θ* waves of electroencephalogram at Fp1 channel); low-resolution ones that can only differentiate low and high cognitive load (including the overall power spectrum of 13 electrocardiogram, eye blink rate and respiration rate) and others that show non-linear patterns with the increase of cognitive load (i.e., the power spectrum of *θ* waves at Fp2 channel). Furthermore, it has been found that n-back task versions, the modality of n- back tasks, the level of automation, and the percentage of male participants could moderate the associations between metrics and cognitive load. This study, through a meta-analysis, offers a new perspective in understanding the relationship between physiological and eye-tracking metrics and different cognitive

- 20 load levels and provides new insights into resolving the debates in this area. The
- findings highlight the importance of considering individual heterogeneity, driving

automation, data collection environment, and metric characteristics when developing

- algorithms for driver cognitive load estimation. Future research should further validate
- our findings when more data and research become available.

### **ACKNOWLEDGEMENTS**

This work was supported by the National Natural Science Foundation of China (No.

- 52202425), and in part by the Guangzhou Municipal Science and Technology Project
- (No. 2023A03J0011), and Guangzhou Science and Technology Program City-
- University Joint Funding Project (No. 2023A03J0001).

## **CRediT AUTHORSHIP CONTRIBUTION STATEMNT**

- **Ange Wang**: Conceptualization, Data curation, Formal analysis, Methodology, Software,
- Validation, Writing original draft. **Chunxi Huang**: Validation, Formal analysis. **Jiyao**
- **Wang**: Data curation, Validation. **Dengbo He**: Formal analysis, Funding acquisition,
- Methodology, Supervision, Validation, Writing review & editing.

# **DECLARATION OF COMPETING INTEREST**

- The authors declare that they have no known competing financial interests or personal
- relationships that could have appeared to influence the work reported in this paper.

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