

1 **Topic:** Surface Transportation

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3 **Anticipatory Driving in Automated Vehicles: The Effects of Driving**  
4 **Experience and Distraction**

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7 *Dengbo He, Chelsea A. DeGuzman, Birsen Donmez\**

8 Department of Mechanical and Industrial Engineering, University of Toronto, Toronto, ON, Canada

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14 **\*Corresponding author:** 5 King's College Rd., Toronto, ON, Canada, M5S 3G8, email:  
15 donmez@mie.utoronto.ca, telephone: +1 (416) 978-7399; fax: +1 (416) 978-7753.

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27 **Abstract**

28 **Objective:** To understand the influence of driving experience and distraction on drivers' anticipation of  
29 upcoming traffic events in automated vehicles. **Background:** In non-automated vehicles, experienced  
30 drivers spend more time looking at cues that indicate upcoming traffic events compared to novices, and  
31 distracted drivers spend less time looking at these cues compared to non-distracted drivers. Further, pre-  
32 event actions (i.e., proactive control actions prior to traffic events) are more prevalent among experienced  
33 drivers and non-distracted drivers. However, there is a research gap on the combined effects of experience  
34 and distraction on driver anticipation in automated vehicles. **Methods:** A simulator experiment was  
35 conducted with 16 experienced and 16 novice drivers in a vehicle equipped with adaptive cruise control  
36 and lane keeping assist systems (resulting in SAE Level-2 driving automation). Half of the participants in  
37 each experience group were provided with a self-paced primarily visual-manual secondary task. **Results:**  
38 Drivers with the task spent less time looking at cues and were less likely to perform anticipatory driving  
39 behaviors (i.e., pre-event actions or preparation for pre-event actions such as hovering fingers over the  
40 automation disengage button). Experienced drivers exhibited more anticipatory driving behaviors, but  
41 their attention towards the cues were similar to novices for both task conditions. **Conclusion:** In line with  
42 non-automated vehicle research, in automated vehicles, secondary task engagement impedes anticipation  
43 while driving experience facilitates anticipation. **Application:** Though Level-2 automation can relieve  
44 drivers of manually controlling the vehicle and allow engagement in distractions, visual-manual  
45 distraction engagement can impede anticipatory driving and should be restricted.

46 **Keywords:** Anticipatory driving, Driver distraction, Driving simulator, Visual attention, Driving  
47 automation

48 **Precis:** In a simulator, we investigated the effect of visual-manual distractions on drivers' anticipation of  
49 traffic events among novice and experienced drivers in automated vehicles. The results show that  
50 distraction impeded while experience facilitated anticipation. Distraction shifted drivers' attention away  
51 from the cues that enable anticipation in both experience conditions.

## 52 **1 Introduction**

53 With the state-of-the-art vehicle automation technology available to the public, i.e., SAE Level 2 driving  
54 automation (SAE On-Road Automated Vehicle Standards Committee, 2018), drivers no longer need to  
55 control the vehicle continuously. However, they are still required to monitor the roadway and the  
56 automation, and intervene when necessary, either by taking over vehicle control or by adjusting the  
57 automation; intervention may be required due to degradations in automation reliability or situations that  
58 exceed automation capability. Drivers are expected to perform better if they can anticipate when their  
59 intervention is needed. For example, drivers exhibited more stable steering wheel control after a takeover  
60 when vehicle automation disengaged on a regular schedule compared to a variable and thus unpredictable  
61 one (Merat et al., 2014). Drivers also allocated more attention toward relevant cues within the vehicle and  
62 the environment indicating the potential for a takeover: Dogan et al. (2017) found that their participants  
63 looked more at the speedometer when they were approaching an upper speed limit of adaptive cruise  
64 control (ACC); participants of DeGuzman et al. (2020) glanced more at the roadway when there were  
65 breaks in lane markings, a situation that led to Lane Keeping Assist (LKA) failures.

66 While the above studies suggest that drivers can perform better if they can anticipate when their  
67 intervention is needed, the type of scenarios utilized in these studies are fairly simplistic for studying the  
68 skill of anticipation. Anticipatory driving has been defined as “a manifestation of a high-level cognitive  
69 competence that describes the identification of stereotypical traffic situations on a tactical level through  
70 the perception of characteristic cues, and thereby allows for the efficient positioning of a vehicle for  
71 probable, upcoming changes in traffic” (Stahl et al., 2014, p. 605). Anticipatory driving goes beyond  
72 hazard anticipation and requires relatively complex scenarios, with causal links between the behaviors of  
73 different traffic agents, which we refer to as anticipatory scenarios (He & Donmez, 2020). In these  
74 scenarios, anticipation can be assessed using various measures, such as behavioral or glance metrics. For  
75 example, glancing more towards cues that indicate an upcoming traffic event and disengaging the  
76 automation prior to the event suggest that a driver might have anticipated the event. Limited research in  
77 automated driving has used anticipatory scenarios to investigate drivers’ anticipation of upcoming traffic

78 events. In a driving simulator, Merat and Jamson (2009) found that drivers in non-automated vehicles  
79 were better able to anticipate critical (i.e., required driver intervention) lead vehicle braking events than  
80 drivers in automated vehicles, as indicated by a faster brake response time. When the lead vehicle braking  
81 was due to a traffic light ahead changing from amber to red, drivers in non-automated vehicles braked  
82 before the lead vehicle began braking, whereas drivers in automated vehicles did not brake until after.  
83 However, this study did not investigate the factors that may influence drivers' anticipation. In a recent  
84 simulator study on automated driving, we investigated the effect of in-vehicle displays on novice and  
85 experienced drivers' anticipation when they were distracted by a visual-manual secondary task (He et al.,  
86 2021), but we did not investigate the combined influence of driving experience and secondary task  
87 availability.

88           Research in non-automated vehicles suggests that experienced drivers are more capable of  
89 anticipating upcoming traffic events (He & Donmez, 2020; Stahl et al., 2014, 2019), possibly because  
90 they are better at visually scanning the environment (e.g., Jackson et al., 2009; Sagberg & Bjørnskau,  
91 2006) and they pay more attention to environmental cues that enable anticipation of upcoming events  
92 (i.e., anticipatory cues) (He & Donmez, 2020; Stahl et al., 2019). While several studies investigated the  
93 influence of drivers' experience with automated driving systems on their behaviors in automated vehicles  
94 (e.g., Larsson et al., 2014), limited number of studies have focused on general driving experience. Young  
95 and Stanton (2007) found that active steering (a lateral support system) led to smoother control of speed  
96 and headway among novice drivers, but not among more experienced drivers; He and Donmez (2019)  
97 found that experienced drivers exhibited less risky off-road glance behaviors in automated vehicles  
98 compared with novices; and He et al. (2021) found that when presented with surrounding traffic  
99 information on an in-vehicle display in an automated vehicle, experienced drivers maintained safer  
100 margins (longer minimum gap times) compared to novices, despite exhibiting a higher rate of long (>2 s)  
101 glances towards a visual-manual secondary task.

102           Based on the findings from non-automated driving studies (e.g., Stahl et al., 2014, 2019), it is  
103 expected that experienced drivers may perform better in monitoring traffic and anticipating upcoming

104 events in automated vehicles. However, the benefit of experience on anticipation may be less pronounced  
105 in automated vehicles. As drivers no longer need to control the vehicle continuously when using driving  
106 automation, they are expected to have more spare attentional capacity than in non-automated vehicles.  
107 This spare attentional capacity may especially aid anticipation among novice drivers, who are known to  
108 have limited spare attentional capacity to perceive on-road hazards in non-automated vehicles (Jackson et  
109 al., 2009). However, drivers may not allocate this additional spare attentional capacity to the driving task.  
110 Previous research found that drivers in automated vehicles are more likely to shift their spare attention  
111 onto secondary tasks (de Winter et al., 2014; He & Donmez, 2019; Jamson et al., 2013), which can  
112 negatively impact their ability to attend to and respond to upcoming traffic events (He & Donmez, 2018,  
113 2020). The negative effect of a secondary task is expected to be more pronounced among novice drivers,  
114 as they were found to engage more in secondary tasks and exhibit riskier glance behaviors compared to  
115 experienced drivers in automated vehicles (He & Donmez, 2019).

116 In this paper, we present a driving simulator experiment to investigate the influence of driving  
117 experience and secondary task engagement on anticipation in automated vehicles equipped with ACC and  
118 LKA. Participants completed four drives, each with a scenario that enabled anticipation of an upcoming  
119 traffic event. For these scenarios, we analyzed glance metrics as well as anticipatory driving behaviors,  
120 including proactive control actions prior to an event (i.e., pre-event actions) and preparations for any  
121 control actions to change the automation settings or take over control (i.e., pre-event preparation).

## 122 **2 Method**

123 The experiment had a 2×2 design, with driving experience (novice or experienced) and secondary task  
124 (yes or no) as independent variables, both implemented as between-subjects factors. The criteria for the  
125 recruitment of novice and experienced drivers are shown in Table 1 and were based on previous research  
126 (He & Donmez, 2020; Stahl et al., 2016). Participants were randomly assigned to a secondary task  
127 condition, balanced for gender. Considering that visual-manual distractions are the most detrimental to  
128 safety in non-automated vehicles (Dingus et al., 2016), a visual-manual secondary task was used. Each

129 participant completed four experimental drives in the simulator with both ACC and LKA working  
130 simultaneously. Near the end of each drive, there was a scenario where the participant could anticipate an  
131 upcoming traffic event based on the behavior of other traffic agents. In this paper, we focus on these  
132 anticipatory scenarios. Secondary task engagement and physiological measures recorded throughout the  
133 entire drives, and self-reported workload and perceived risk in the drives were reported in He and  
134 Donmez (2019).

135 Overall, our experimental design is the same as the one used in He and Donmez (2020), except  
136 that this earlier study investigated driver anticipation in non-automated vehicles. The driving automation  
137 in the current study was designed to be able to navigate all events without intervention from the driver to  
138 avoid impacting drivers' attitudes and/or behaviors in an unrealistic way, as driving automation failures  
139 are relatively rare in current production systems (Blanco et al., 2016; Favarò et al., 2017; Teoh & Kidd,  
140 2017). However, in addition to verbal instructions about limitations of ACC and LKA, we introduced an  
141 ACC failure event (i.e., abrupt intensive lead vehicle braking that exceeded the ACC capability) in a  
142 practice drive so that participants were primed that the automation could fail in this experiment.

## 143 **2.1 Participants**

144 Participants were recruited through online forums or posters around campus or nearby residential areas. A  
145 total of 32 participants completed the study. In general, the novice drivers were younger than the  
146 experienced drivers (Table 1,  $F(1,28)=42.94, p<.0001$ ), which is to be expected and is representative of  
147 the driving population. No significant age difference was found between participants who were randomly  
148 assigned to the two secondary task conditions ( $p=.7$ ). Experienced drivers had a full license for an  
149 average of 16.0 years (Range: 9 - 33) with a standard deviation (SD) of 6.8 years, and novice drivers had  
150 an average of licensure of 13.8 months (SD: 9.9, Range: 0.5 - 34).

151 Twenty-six of the participants reported to have never used ACC or LKA systems. One participant  
152 reported using the systems several times a week (an experienced driver in the no secondary task  
153 condition), and five participants reported using either an ACC or an LKA system less than several times a

154 year (1 experienced driver in the secondary task condition, 2 experienced drivers in the no secondary task  
 155 condition, 1 novice driver in the secondary task condition, and 1 novice driver in the no secondary task  
 156 condition).

157 The experiment took about 2.5 hours. Participants were told that they would be compensated at a  
 158 rate of \$14/hr plus a bonus of up to \$8 based on their driving performance (all currency reported in CAD).  
 159 Participants in the secondary task condition were told that the \$8 bonus also depended on their secondary  
 160 task performance, specifically that they would receive \$0.20 for each correct answer and lose \$0.40 for  
 161 each incorrect answer. All participants received the full bonus regardless of their performance. The study  
 162 received approval from the University of Toronto Research Ethics Board (#35560).

163

164 Table 1. Experimental design and participant age (mean, range, and standard deviation (SD))

Experience	Criteria	Secondary Task	Mean Age (Range, SD)
Experienced (n=16)	- Full license in Ontario (or equivalent in Canada or the U.S.) for over 8 years	Yes (n=8)	37.4 (28 - 58, 9.4)
	- Drove over 20,000 km in the past 1 year	No (n=8)	39.3 (28 - 52, 9.6)
Novice (n=16)	- G2 license in Ontario (or equivalent in Canada or the U.S.) for less than 3 years	Yes (n=8)	21.1 (18 - 27, 3.2)
	- Drove less than 10,000 km in the past 1 year	No (n=8)	21.6 (18 - 24, 1.9)

165

## 166 2.2 Apparatus

167 The study was conducted in a MiniSim Driving Simulator by NADS (Figure 1a), which is a fixed-base  
 168 simulator with three 42-inch screens, creating a 130° horizontal and 24° vertical field at a 48-inch viewing  
 169 distance, with two speakers for stereo sound and a sub-woofer simulating vibration from the road surface.  
 170 Both ACC and LKA were implemented, operating simultaneously to simulate SAE Level 2 driving  
 171 automation (SAE On-Road Automated Vehicle Standards Committee, 2018). The ACC maintained a  
 172 constant cruise speed (which could be adjusted by the participant using the buttons on the steering wheel)  
 173 for the ego-vehicle and kept a minimum gap time (i.e., distance from back bumper of the lead vehicle to  
 174 the front bumper of the ego-vehicle divided by the speed of ego-vehicle) to a lead vehicle if a lead vehicle

175 existed and traveled slower than the set speed of the ego-vehicle. The gap time setting was fixed to 2  
176 seconds for all participants, a value that is commonly recommended for safety consideration in highway  
177 driving (Wang & Song, 2009). The LKA controlled the steering to keep the vehicle in the center of the  
178 lane. Both ACC and LKA could be engaged and disengaged using buttons on the steering wheel. The  
179 ACC could also be disengaged by pressing the brake pedal, and the LKA could also be disengaged by  
180 turning the steering wheel over 5 degrees. The driving data (e.g., vehicle speed, brake and accelerator  
181 pedal positions, and steering wheel angle) was recorded at 60 Hz.  
182



183  
184

185 Figure 1. (a) NADS MiniSim driving simulator; (b) Screenshot of the secondary task

186

187 A Surface Pro 2 laptop with a 10.6” touch screen was mounted to the right of the dashboard and  
188 presented the secondary task; the screen was off during the no secondary task condition. A Dikablis head-  
189 mounted eye-tracking system by Ergoneers was used to record eye movements at 60 Hz. A camera was  
190 mounted under the dashboard to record feet movements, and another beside the driver seat to record hand  
191 movements.

### 192 2.3 Secondary Task

193 The secondary task that was used in the experiment is a visual-manual task that mimics the operations of  
194 in-vehicle infotainment systems (e.g., searching for and selecting songs) (Figure 1b). This task was



195 developed by Donmez et al. (2007) and has been shown across several studies to degrade driving  
196 performance (e.g., Chen et al., 2018; Merrikhpour & Donmez, 2017). Participants scrolled through ten 3-  
197 word phrases that looked similar to each other and had to find a phrase that had either “Discover” as its  
198 first word, or “Project” as its second word, or “Missions” as its third word (e.g., “Project Discover  
199 Misguide” is not a match, whereas “Discover Missions Predict” is). Only two phrases were visible on the  
200 screen at a time; participants used up and down arrows to scroll through the 10 phrases. Once participants  
201 identified a matching phrase, they had to tap on it and then tap on the submit button. Visual feedback was  
202 provided on the correctness of the submission, and then a “start” button appeared on the screen for the  
203 participants to initiate a new task. The task was available throughout the whole drive for the secondary  
204 task condition, and the participants could decide when to engage in the task and perform it at their own  
205 pace. It should be noted that this task is not purely visual-manual. The task is also cognitively demanding  
206 to some extent, as participants are required to recall the target phrase and compare it with the ones on the  
207 screen. However, it should also be noted that this cognitive component makes the task more realistic, as  
208 in-vehicle visual-manual tasks can also be cognitively demanding (e.g., recalling the name of a song  
209 while searching for it on the infotainment system display).

#### 210 **2.4 Driving Task**

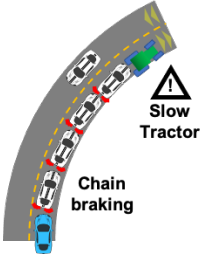
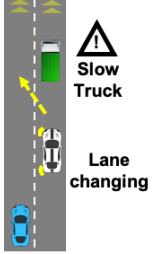
211 Participants were told to drive safely, obey speed limits, maintain a comfortable distance from lead  
212 vehicles, and use both ACC and LKA when possible. Each participant completed four experimental  
213 drives (~5 minutes each), two on a rural road with a speed limit of 80.5 km/h (50 mph), and two on a  
214 highway with a speed limit of 96.6 km/h (60 mph). In each drive, participants experienced a unique  
215 scenario that enabled anticipation of an upcoming event (see Table 2). The scenarios were adopted from  
216 Stahl et al. (2014) and He and Donmez (2018, 2020); He et al. (2021), and all participants experienced the  
217 four scenarios in the same order.

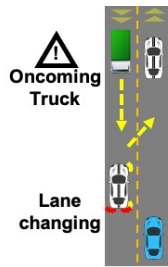
218 The beginning of an event (event onset) in each scenario was marked by an action of a lead or  
219 overtaking vehicle that would unambiguously indicate the upcoming event, e.g., the onset of the lane

220 changing event in Scenario 2 (see Table 2) would be the directional signal of the following vehicle. Prior  
 221 to the event onset were anticipatory cues that indicated that an event *may* occur. For example, the  
 222 diminishing distance between the truck and the following vehicle in Scenario 2 can be considered an  
 223 anticipatory cue as it indicates that the following vehicle *may* move to the left in front of the ego-vehicle.  
 224 However, the following vehicle may also slow down to move to the left behind the ego-vehicle. Thus, the  
 225 intent of the following vehicle is not yet clear before event onset. As noted earlier, the automation was  
 226 able to successfully navigate all traffic events. The participants were told to disengage the automation or  
 227 adjust the settings (i.e., change ACC set cruise speed) only when necessary and were not informed of the  
 228 automation’s capability to handle the events in the experiment. In all scenarios, if the driver took no  
 229 action, the ACC in the ego-vehicle would start to decelerate after event-onset and would safely slow  
 230 down the vehicle.

231

232 Table 2. Description of anticipatory scenarios used in the experiment

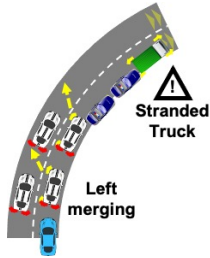
Scenario Image	Scenario Description
	<p data-bbox="467 1108 1052 1136"><u><i>Chain Braking Event Due to Slow Tractor (Scenario 1)</i></u></p> <p data-bbox="467 1150 1398 1297">Ego-vehicle followed a chain of four vehicles on a two-lane rural road with moderate oncoming traffic, traveling at 80.5 km/h (50 mph). Due to a slow tractor ahead on a curve, traveling at 40.2 km/h (25 mph), the front-most vehicle started to brake when within 22 m of the tractor, with a deceleration of 8 m/s<sup>2</sup>. The other lead vehicles braked consecutively.</p> <ul data-bbox="480 1304 1349 1396" style="list-style-type: none"> <li data-bbox="480 1304 1349 1360">• <u><i>Anticipatory cues</i></u>: slow tractor, reducing distance between lead vehicles, and braking of lead vehicles (except the one directly ahead)</li> <li data-bbox="480 1367 1349 1396">• <u><i>Event onset</i></u>: brake lights of the lead vehicle directly ahead of the ego-vehicle</li> </ul>
	<p data-bbox="467 1423 1040 1451"><u><i>Lane Changing Event Due to Slow Truck (Scenario 2)</i></u></p> <p data-bbox="467 1465 1414 1703">Ego-vehicle traveled at 96.6 km/h in the left lane of a four-lane divided highway. The ego-vehicle approached a truck and a following vehicle on the right lane, which were both traveling at 72.4 km/h (45 mph) initially. As the distance between the truck and the ego-vehicle fall under 210 m, the truck slowed down to be 64.7 km/h (40 mph). After approximately 11 seconds (roughly when the participant’s vehicle would reach the following vehicle if the participant maintained speed), the following vehicle signaled left for 2 seconds and then pulled out into the left lane, accelerating to 80.5 km/h at a rate of 5 m/s<sup>2</sup>, to overtake the truck.</p> <ul data-bbox="480 1709 1390 1766" style="list-style-type: none"> <li data-bbox="480 1709 1390 1736">• <u><i>Anticipatory cues</i></u>: reducing distance between the truck and the following vehicle</li> <li data-bbox="480 1743 1390 1766">• <u><i>Event onset</i></u>: left signal of the following vehicle</li> </ul>



Overtaking Event Due to Oncoming Truck (Scenario 3)

The ego-vehicle followed a lead vehicle on a rural road. On a straight road, the vehicle directly behind the ego-vehicle (overtaking vehicle) signaled left for 2 seconds with high beams on, pulled into the opposite lane, and accelerated to be 7.2 km/h (4.5 mph) faster than the ego-vehicle to overtake the ego-vehicle. Because of an oncoming truck, the overtaking vehicle had to cut in front of the ego-vehicle abruptly after signaling right for 2 seconds.

- Anticipatory cues: the left signal and left lane change of the overtaking vehicle, and the emergence of the oncoming truck
- Event onset: right signal of the overtaking vehicle



Chain Braking Event Due to Stranded Truck (Scenario 4)

The ego-vehicle was driving in the right lane of a four-lane highway. Because of a stranded truck with two police cars behind, two lead vehicles in front of the ego-vehicle were forced to brake with a deceleration of  $5\text{m/s}^2$ , and merged left after signaling left for 2 seconds. The cars in the left lane also braked to make room for merging vehicles with deceleration rates of  $5\text{ m/s}^2$ .

- Anticipatory cues: the truck and the police vehicles becoming visible
- Event onset: braking of the vehicle directly ahead

233 *Note*: In the sketches, the ego-vehicle is blue; the truck or tractor is green; other vehicles are white except  
 234 the dark blue police cars in Scenario 4. The dashed yellow arrows show the potential paths of road agents.  
 235

236 **2.5 Procedures**

237 Table 3 summarizes experimental procedures.

238 Table 3. Experimental procedures

<b>Procedure (duration)</b>	<b>Details</b>
Consent (~5 min)	Participant eligibility was verified, and written informed consent was obtained from each participant.
Driving task training (~10 min)	Participants were introduced to the manual operation of the vehicle and the automation (i.e., ACC and LKA). They practiced engaging and disengaging the ACC and LKA, and changing the ACC cruise speed in a short drive on an empty straight rural road. Participants were verbally informed about the limitations of both ACC (e.g., may not avoid a crash if intensive braking is required, does not respond to stationary objects) and LKA (e.g., may not work if lane markings are absent or not visible such as at an intersection). They were then required to verbally repeat these limitations. If a participant did not repeat all limitations correctly, the experimenters would describe the limitations until the participant repeated them correctly.
Secondary task training (~ 5 min)	Participants who were assigned to the secondary task condition were trained on how to complete the secondary task and asked to practise performing the secondary task while not driving.
Practice drive (≥ 10 min)	Participants completed a practice drive on a route similar to the ones in experimental drives in terms of traffic density and road type. For the first 5 minutes of the drive, participants were required to drive without automation; then they were instructed to engage and disengage the ACC and LKA twice and then keep using the systems for a minimum of 5 minutes. If the participants indicated that they were not yet comfortable with the amount of practice they received, they were given additional practice time. Participants assigned to the secondary task condition were also asked to interact with the secondary task.

Eye-tracker calibration (~ 10 min)	Participants were outfitted with the head-mounted eye-tracking system.
Pre-experiment drive (~ 10 min)	Participants completed one more practice drive that lasted for about 6 minutes, but they were told that this was an experimental drive. This drive was used to introduce an ACC failure (an intensive braking of the lead vehicle that required drivers to takeover) to prime participants for the possibility of automation failures.
Experimental drives and questionnaires (~ 90 min)	Participants completed the four experimental drives They were told to prioritize driving safety and use both ACC and LKA when possible in all drives, and were found to use ACC and LKA simultaneously for at least 80% of their total driving time. The eye-tracker was re-calibrated before each drive. Participants were allowed a 5-minute rest after each drive, during which they rated the automated driving system they used while considering ACC and LKA as a whole. They rated their trust (i.e., “I can trust the system”), from 1 (not at all) to 7 (extremely), and completed the System Acceptance Questionnaire (Van Der Laan et al., 1997) that measured perceived usefulness and satisfaction, both ranging from -2 (negative) to 2 (positive).
Post-experiment questionnaire (~ 10 min)	At the end of the experiment, participants completed a modified Complacency-Potential Factors Questionnaire (Singh et al., 1993) on a scale of 1 (low) to 5 (high), to assess their trust-related complacency toward commonly encountered automated devices (e.g., ATM); two questions were removed as the relevant tasks are now either obsolete or rarely performed (i.e., searching for books in the library by manually sorting through a card catalogue and taping TV programs manually on a VCR).

239

## 240 2.6 *Dependent Variables and Statistical Models*

241 Three categories of data were analyzed: 1) glance behaviors in the interval from 20 s before the first  
242 anticipatory cue to the event onset; 2) anticipatory driving behaviors; 3) subjective responses.

243 We focused on glances to the anticipatory cues and secondary task display, as these types of  
244 glances were found to be associated with anticipatory driving (He & Donmez, 2020). Each glance was  
245 defined from the gaze starting to move toward an area of interest (AOI) to it starting to move away from  
246 the AOI, following ISO 15007-1:2013(E) (International Organization for Standardization, 2014). Glances  
247 that fell partially within a data extraction period were handled following the method in Seppelt et al.  
248 (2017) and He and Donmez (2020), for example, if 0.7 seconds of a 1 second glance fell on the period of  
249 interest, then this glance was counted as 0.7 glances. Glances shorter than 100 ms were excluded from the  
250 analyses (Crundall & Underwood, 2011; Horrey & Wickens, 2007). Two seconds was used as the  
251 threshold for long glances based on crash risk research conducted in non-automated driving (Klauer et al.,  
252 2006). In order to investigate whether drivers’ behavior changed after anticipatory cues became visible  
253 (i.e., cue onset), a new independent variable, “cue-onset”, was created. The cue-onset variable divided the

254 data into two periods: before-cue-onset (from 20 seconds before cue onset to cue onset) and after-cue-  
255 onset (from cue onset to event onset or when the automation was disengaged, whichever occurred first).  
256 The length of the before-cue-onset period was always 20 sec, and the average length of the after-cue-  
257 onset periods for Scenarios 1, 2, 3, and 4 was 14.1 s, 11.0 s, 12.6 s, and 8.1 s, respectively, with the SD of  
258 2.4, <0.01, 0.9, and 0.6. Table 4 lists the glance measures that are reported in our results section. It should  
259 be noted that for the metric, “time until first glance at cues”, in Table 4, if a participant did not look at any  
260 cues, the time until first glance at cues was considered to be the time from the first cue becoming visible  
261 to event onset. Other metrics for glances toward the two AOIs, including the mean glance durations and  
262 rates of glances, were analyzed but not reported as they did not provide additional insights on driver  
263 monitoring; the readers are referred to He (2020) for these additional analysis.

264 Two types of behaviors were considered anticipatory driving behaviors: pre-event actions (i.e.,  
265 control actions prior to event onset; He & Donmez, 2018; Stahl et al., 2014) and pre-event preparations  
266 (i.e., driver preparations to adjust or disengage the automation prior to event onset). We previously used  
267 pre-event actions to assess anticipatory driving in non-automated vehicles (He & Donmez, 2018; Stahl et  
268 al., 2014). However, pre-event actions may not capture all anticipatory behaviors in automated vehicles,  
269 in particular when the situation does not require driver takeover as was the case in our scenarios. Thus, it  
270 was important to expand earlier operationalizations of anticipatory driving behaviors to include  
271 preparations for a control action (i.e., pre-event preparations). The pre-event actions defined for this study  
272 were: 1) pressing the brake pedal to decelerate and disengage the ACC, or pressing the buttons on the  
273 steering wheel to disengage the ACC or decrease the set cruise speed of ACC in all scenarios; 2)  
274 accelerating by pressing the gas pedal or by pressing the buttons on the steering wheel to increase the set  
275 cruise speed of ACC in Scenarios 2 and 3; and 3) turning the steering wheel to override the LKA and to  
276 change lanes in Scenario 4. Pre-event preparations were defined as any of the following identifiable foot  
277 or hand movements to prepare for a pre-event action: moving the foot to the gas or brake pedal, moving  
278 hands toward the steering wheel, and hovering fingers above any buttons that control the automation.

279

280 Table 4. Dependent variables for glance behaviors

Dependent Variable		Data Extraction Period
Glances toward cues	- Time until first glance at cues - % of time looking at cues	From the first anticipatory cue becoming visible to event onset
Glances toward secondary task display	- % of time looking at secondary task display - Rates of long glances (> 2 s) at the secondary task display	From 20 s prior to the first anticipatory cue becoming visible to event onset

281 \*If a participant did not look at any cues, the time until first glance at cues was considered to be the time  
 282 from the first cue becoming visible to event onset.  
 283

284 Three raters blind to the driving experience level of participants labeled each scenario as having a  
 285 pre-event action, a pre-event preparation, or no anticipatory behavior. The raters used eye-tracking videos  
 286 and videos of participants' feet and hands. To reduce the risk of an unintentional foot or hand movement  
 287 being labeled as an anticipatory behavior, at least one glance toward the anticipatory cues was required  
 288 for a pre-event action or preparation. A Fleiss' Kappa (Fleiss, 1971) of 0.81 (i.e., almost perfect) was  
 289 reached before conflict resolution, and conflicts in judgment were resolved through discussions.

290 The binary variables (i.e., the exhibition of anticipatory behaviors) were analyzed using logistic  
 291 regression. The rate of long (>2s) glances was modeled using negative binomial regression, with the  
 292 duration of the data extraction period used as the offset. Repeated measures (i.e., four scenarios by each  
 293 participant) in these models were accounted for using generalized estimating equations. All other  
 294 variables were analyzed using repeated measures ANOVAs. All significant ( $p < .05$ ) and marginally  
 295 significant ( $.05 < p < .1$ ) main and interaction effects will be reported in this paper – whether they confirm  
 296 or disconfirm our hypothesis. The marginal results may reveal patterns in the data that are not conclusive  
 297 but are potentially informative for future research.

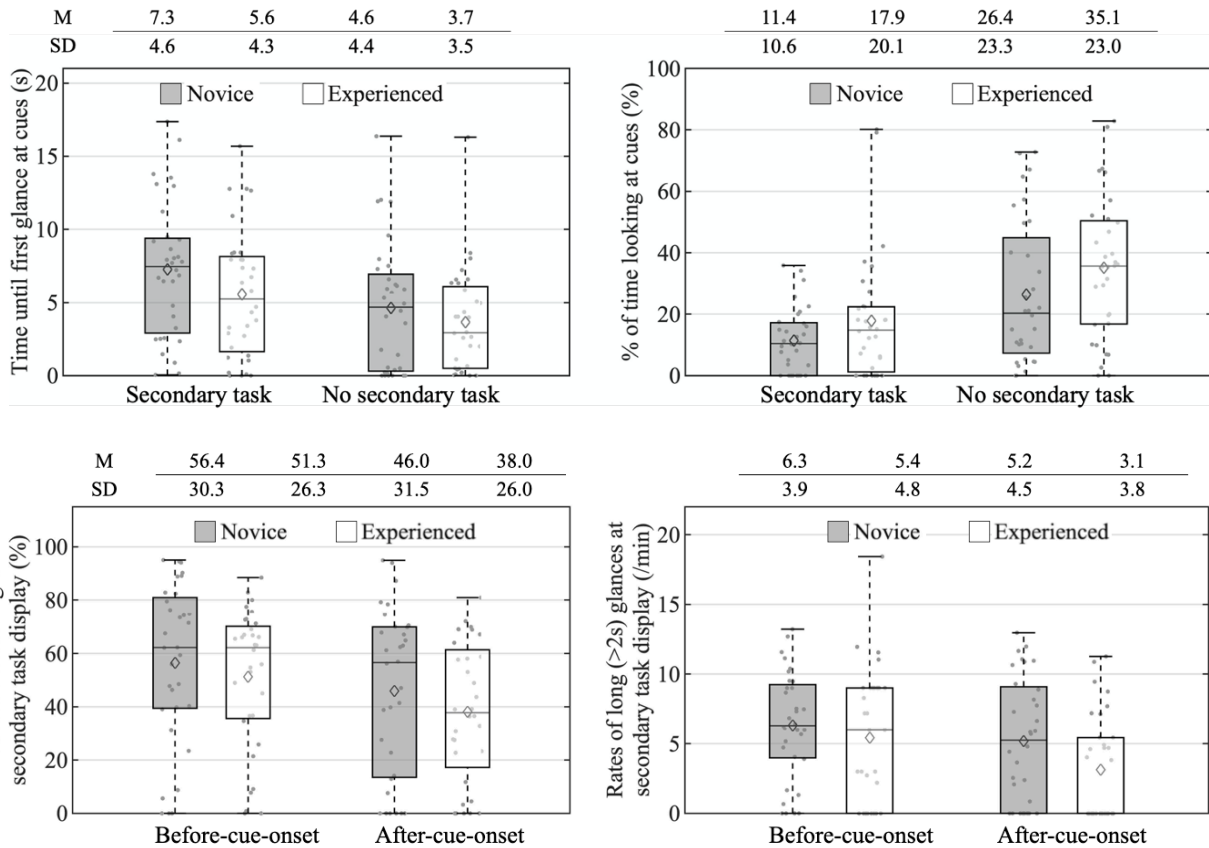
### 298 3 Results

#### 299 3.1 Glance Behaviors

300 As shown in Table 5 and Figure 2, compared to no secondary task, the secondary task condition was  
 301 associated with longer time until first glance at cues (mean difference ( $\Delta$ )=2.7 s, 95% CI: 1.7, 3.7) and

302 lower percentage of time spent looking at cues ( $\Delta=16\%$ , 95% CI: 8, 24). After cue onset, drivers spent a  
 303 lower percentage of time looking at the secondary task display ( $\Delta=12\%$ , 95% CI: 3, 20) and exhibited a  
 304 35% (95% CI: 21, 47) lower rate of long glances to the secondary task display.

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310 Figure 2. Boxplots of glances at anticipatory cues and the secondary task display. Boxplots present the  
 311 five-number summary, along with the mean depicted through a hollow diamond. The mean (M) and  
 312 standard deviation (SD) values are also provided at the top of each plot.

313

314 There were two marginally significant effects (see Table 5). A marginally significant effect of  
 315 experience was observed for percent time looking at cues, with experienced drivers looking at cues for a  
 316 higher percentage of time ( $\Delta=8\%$ , 95% CI: -1, 16). Further, the interaction of experience and cue-onset  
 317 was marginally significant for rates of long glances toward the secondary task: for experienced drivers,

318 the rate of long glances to the secondary task was 47% lower (95% CI: 27, 61) after cue onset than before,  
319  $\chi^2(1)=15.61$ ,  $p<.0001$ , with no significant effect for novice drivers.

### 320 **3.2 *Exhibition of Anticipatory Driving Behaviors***

321 Pre-event actions were more common than pre-event preparations (25 pre-event actions compared to 13  
322 pre-event preparations; Figure 3a). Further, 2 of the 25 pre-event actions (both by experienced drivers,  
323 one in the secondary-task and one in the no-secondary-task condition) and 8 of the 13 pre-event  
324 preparations were hand movements (seven by experienced drivers, with one in the secondary-task and the  
325 rest in the no-secondary task condition; one by a novice driver in the no-secondary-task condition); the  
326 rest of the pre-event actions and pre-event preparations were foot movements. Twenty-one participants  
327 exhibited at least one anticipatory driving behavior across the four scenarios; 11 participants exhibited no  
328 anticipatory driving behaviors (Figure 3b).

329 Statistical model results are shown in Table 5. Compared with novice drivers, experienced drivers  
330 were more likely to exhibit anticipatory driving behaviors (pre-event action or pre-event preparation),  
331 with an odds ratio (OR) of 2.92, 95% CI: 1.16, 7.32, and the presence of the secondary task decreased the  
332 likelihood of anticipatory driving behaviors, OR=0.34, 95% CI: 0.14, 0.86. Given that prior anticipatory  
333 driving research for non-automated vehicles (He & Donmez, 2020) focused only on pre-event actions, we  
334 conducted additional analysis to focus on the exhibition of just this type of anticipatory behavior for  
335 comparison purposes; no significant effects were found. When we analyzed the scenarios where an  
336 anticipatory behavior was observed with regards to whether the behavior was a pre-event action or pre-  
337 event preparation, we found that drivers in the secondary task condition were more likely to exhibit pre-  
338 event actions over pre-event preparation, OR=5.49, 95% CI: 1.39, 21.71. The experience and secondary  
339 task interaction was not estimable for type of anticipatory driving behavior because there were no  
340 instances of pre-event preparation for novice drivers in the secondary task condition (see Figure 3a).



341 Table 5. Statistical results for glance and anticipatory driving behavior measures

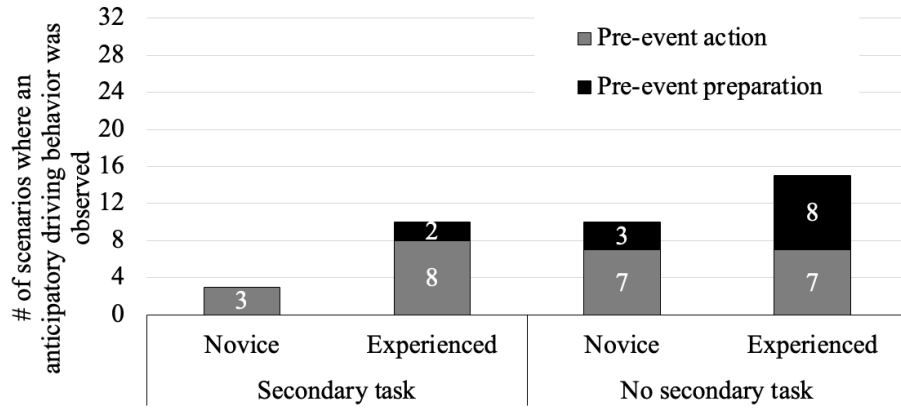
Dependent Variables		Independent Variables				
		Experience	Secondary task	Experience × Secondary task	Cue-onset	Experience × Cue-onset
<b>Glances toward cues</b>	Time until first glance	F(1,28)=2.2 <i>p</i> =.15 $\omega_p^2=0.02$	F(1,28)=6.39 <i>p</i> =.02** $\omega_p^2=0.07$	F(1,28)=0.16 <i>p</i> =.69 $\omega_p^2=-0.01$	- - -	- - -
	% of time looking	F(1,28)=3.57 <i>p</i> =.07* $\omega_p^2=0.05$	F(1,28)=16.28 <i>p</i> =.0004** $\omega_p^2=0.15$	F(1,28)=0.08 <i>p</i> =.79 $\omega_p^2=-0.01$	- - -	- - -
<b>Glances toward secondary task display</b>	% of time looking	F(1,14)=0.52 <i>p</i> =.48 $\omega_p^2=0.01$	- - -	- - -	F(1,110)=7.62 <i>p</i> =.007** $\omega_p^2=0.05$	F(1,110)=0.10 <i>p</i> =.75 $\omega_p^2=-0.01$
	Rate of long (>2s) glances	$\chi^2(1)=1.59$ <i>p</i> =.21	- -	- -	$\chi^2(1)=17.68$ <i>p</i> <.0001**	$\chi^2(1)=3.67$ <i>p</i> =.055*
<b>Anticipatory driving behaviors</b>	Anticipatory driving behavior (yes vs. no)	$\chi^2(1)=5.22$ <i>p</i> =.02**	$\chi^2(1)=5.22$ <i>p</i> =.02**	$\chi^2(1)=0.76$ <i>p</i> =.38	- -	- -
	Pre-event action (yes vs. no)	$\chi^2(1)=1.20$ <i>p</i> =.27	$\chi^2(1)=0.59$ <i>p</i> =.44	$\chi^2(1)=1.20$ <i>p</i> =.27	- -	- -
	Type of anticipatory behavior (pre-event action vs. preparation)	$\chi^2(1)=2.26$ <i>p</i> =.13	$\chi^2(1)=5.88$ <i>p</i> =.02**	-	-	-

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343

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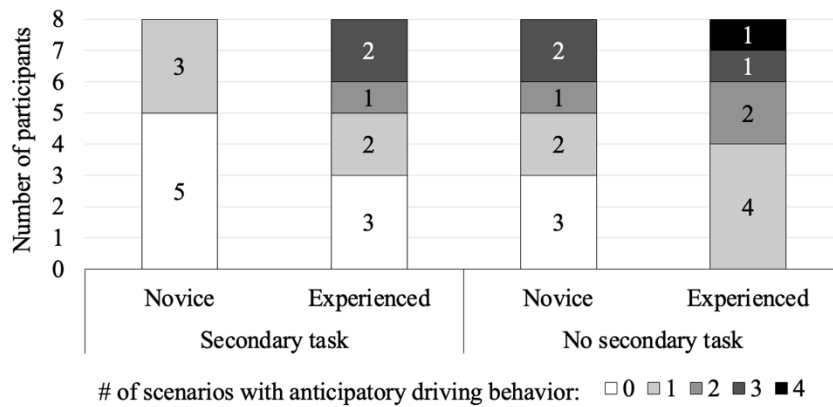
Note: \*\* marks significant results (*p*<.05) and \* marks marginally statistically significant results (.05<*p*<.1). Effect sizes for ANOVAs are reported through partial omega squared ( $\omega_p^2$ ) (Keren & Lewis, 1979).



345

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(a)



347

348

(b)

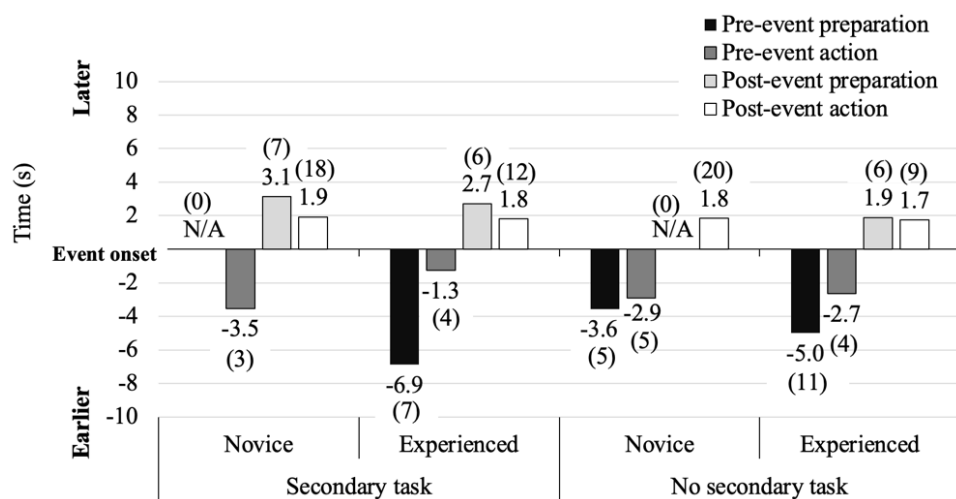
349 Figure 3. (a) Visualization of anticipatory driving behaviors at the scenario level: the number of scenarios  
 350 where an anticipatory driving behavior was observed. The number of scenarios under each experimental  
 351 condition is 32 with 4 scenarios per participant and 8 participants within each condition, representing the  
 352 maximum value for the y-axis. Pre-event preparation counts are based on scenarios with only a pre-event  
 353 preparation (no pre-event action); pre-event action counts include any scenario with a pre-event action,  
 354 including those that were preceded by pre-event preparation. (b) Visualization of anticipatory driving  
 355 behaviors at the participant level: the number of participants who displayed anticipatory driving behaviors  
 356 (pre-event action or preparation) in 0, 1, 2, 3 or 4 scenarios within each experimental condition. The

357 number of scenarios is indicated using a color gradient with darker shades corresponding to more  
 358 scenarios with anticipatory driving behaviors.

359

360 To visualize the potential influence of experimental condition on drivers' behaviors, the average  
 361 timing of participants' first responses is presented in Figure 4. Some participants exhibited no anticipatory  
 362 driving behaviors (pre-event actions or pre-event preparations) but responded after event onset. Thus, in  
 363 addition to the timing of pre-event responses, the timing of post-event responses is also provided in the  
 364 figure: post-event preparations (driver preparations to adjust or disengage the automation after event  
 365 onset) and post-event actions (control actions after event onset). Statistical models were not built due to  
 366 sample size limitations, but inspection of Figure 4 reveals that, in general, experienced drivers exhibited  
 367 pre-event preparations earlier compared to novice drivers, indicating that the experienced drivers may  
 368 have been quicker in understanding the anticipatory scenarios compared to novice drivers. Figure 4 also  
 369 indicates that experienced drivers did not necessarily disengage the automation (i.e., exhibit pre-event  
 370 actions) earlier compared to novices, potentially because experienced drivers waited to see if the situation  
 371 would develop as anticipated.

372



373

374 Figure 4. Average timing of participants' responses relative to event onset in different experimental

375 conditions. If participants exhibited multiple responses (e.g., pre-event preparation followed by post-event

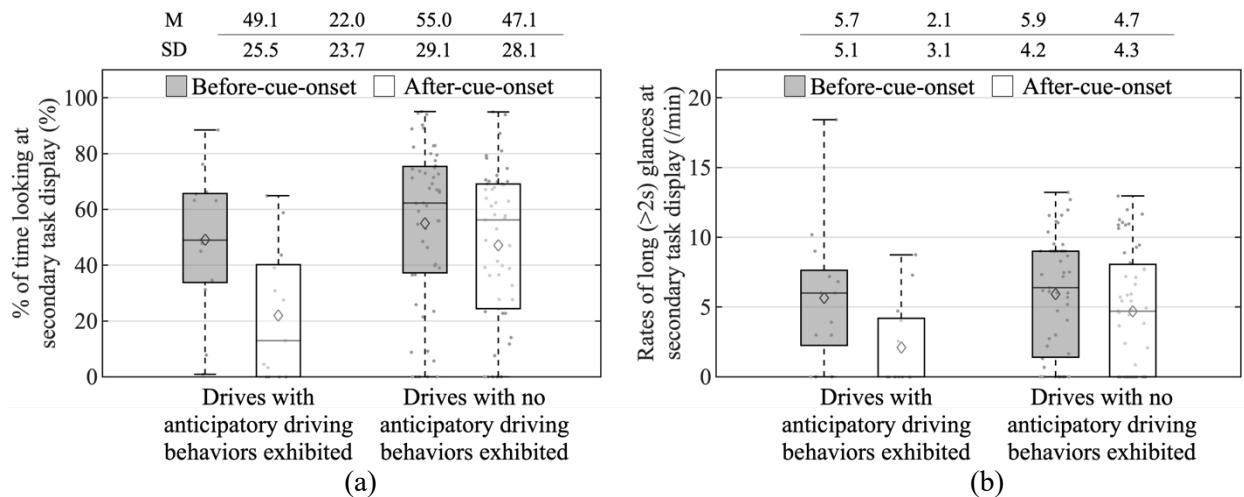
376 action), the timing of the first response was used (pre-event preparation in the example). On the y-axis,  
377 event onset corresponds to 0. Negative values represent responses before event onset and positive values  
378 represent responses after event onset. Numbers in brackets represent the number of scenarios where each  
379 behavior was exhibited as the first response (maximum is 32: 4 scenarios\*8 participants). N/A: timing  
380 information not available as there are no responses of the corresponding type.

### 381 3.3 *Relationship between Glances and Anticipatory Driving Behaviors*

382 To further understand the relationship between glance behaviors and anticipatory driving behaviors, we  
383 compared glance metrics between scenarios where anticipatory driving behaviors were observed and  
384 where no anticipatory driving behaviors were observed. Admittedly, this analysis may be underpowered  
385 given that anticipatory driving behaviors were infrequent under certain conditions (see Figure 3).

386 We observed an interaction effect between the exhibition of anticipatory driving behaviors and  
387 cue-onset for percent of time spent looking at the secondary task display,  $F(1,109)=4.13, p=.04, \omega_p^2=0.02$   
388 (Figure 5a). In scenarios where an anticipatory driving behavior was observed, percent of time looking at  
389 the secondary task display was 27% lower (95% CI: 10, 44) after cue onset,  $F(1,109)=3.17, p=.002$ ; there  
390 was no significant difference in scenarios where no anticipatory driving behavior was observed.

391 An interaction between exhibition of anticipatory driving behavior and cue-onset was also  
392 observed for rates of long glances toward the secondary task display,  $\chi^2(1)=7.24, p=.007$  (Figure 5b).  
393 Overall, drivers reduced rates of long glances toward the secondary task after cue onset. However, this  
394 effect was larger for scenarios where anticipatory driving behaviors were observed ( $\Delta=-60\%$ , 95% CI: -  
395 75, -35,  $\chi^2(1)=14.0, p=.0002$ ) compared to scenarios where no anticipatory driving behavior was observed  
396 ( $\Delta=-26\%$ , 95% CI: -40, -8,  $\chi^2(1)=7.25, p=.007$ ).



397  
 398  
 399 Figure 5. Boxplots of glances at the secondary task display for drives with and without anticipatory  
 400 driving behaviors, by cue-onset. Boxplots present the five-number summary, along with the mean  
 401 depicted through a hollow diamond. The mean (M) and standard deviation (SD) values are also provided  
 402 at the top of each plot.

### 403 3.4 Subjective Ratings

404 Overall, experienced drivers reported lower trust-related complacency toward commonly encountered  
 405 automated devices compared with novice drivers,  $F(1,28)=8.33, p=.007, \Delta=-1.00, 95\% \text{ CI: } -1.71, 0.29,$   
 406  $\omega_p^2=0.19$ . Drivers rated the automated driving system as less useful in drives where anticipatory behaviors  
 407 were observed,  $F(1,95)=8.25, p=.005, \Delta=0.14, 95\% \text{ CI: } 0.04, 0.24, \omega_p^2=0.05$ . These were the only  
 408 significant findings for subjective ratings in this experiment.

## 409 4 Discussion

410 Similar to what has been observed for non-automated vehicles (He & Donmez, 2018, 2020), in automated  
 411 vehicles, the presence of a secondary task impaired driver attention to anticipatory cues indicating  
 412 upcoming traffic events and impeded anticipatory driving behaviors. Drivers in the secondary task  
 413 condition were more likely to exhibit pre-event actions compared to pre-event preparations only. It is  
 414 possible that due to their delayed first glance at the cues when the secondary task was present, drivers did

415 not have as much time to assess the situation. As the secondary task claimed more of drivers' attentional  
416 resources, they may have become more conservative in their choice of action.

417 Overall, drivers in the secondary task condition reduced their visual attention toward the  
418 secondary task after cue onset; and this effect was more pronounced in drives with anticipatory driving  
419 behaviors. A larger reduction in rates of long glances toward the secondary task display after cue onset  
420 was observed in drives with anticipatory behaviors compared to drives without anticipatory driving  
421 behaviors. Further, a significant reduction in the percent of time looking at the secondary task display  
422 after cue onset was observed in drives with anticipatory driving behaviors but not in drives without  
423 anticipatory driving behaviors. These results suggest that anticipation in automated vehicles, with the  
424 presence of a secondary task, may be influenced by drivers' ability to manage their distraction  
425 engagement. It may also be possible that anticipatory drivers may be better at adjusting their attention  
426 allocation as they are more aware of the potential development of traffic.

427 Driving experience, as opposed to what has been observed in non-automated vehicles (He &  
428 Donmez, 2018, 2020; Stahl et al., 2019), was not observed to enhance driver attention to anticipatory cues  
429 in automated vehicles, except for a marginally significant effect (percent time looking at cues was  
430 marginally significantly higher for experienced drivers). The effect of experience on visual attention to  
431 cues in non-automated vehicles may be due to the differences in manual control skill. Novice drivers are  
432 less skilled in handling non-automated vehicles compared to experienced drivers (Bjørnskau & Sagberg,  
433 2005) and therefore may focus more of their cognitive resources on executing the manual control of the  
434 vehicle. Manually controlling the vehicle is less effortful for experienced drivers, giving them more spare  
435 attentional capacity to attend to anticipatory cues. In automated vehicles, however, as automation frees up  
436 drivers from manually controlling the vehicle, both novice and experienced drivers may have a similar  
437 level of spare attentional capacity to monitor the road.

438 While experienced and novice drivers attended to the anticipatory cues to a similar extent,  
439 experienced drivers may still be better at interpreting these cues to anticipate upcoming traffic events as  
440 we found experienced drivers to be more likely to exhibit anticipatory driving behaviors. Jackson et al.

441 (2009) similarly suggested that experienced drivers are better able to interpret cues to predict road  
442 hazards. In the current study, a marginally statistically significant effect was found with experienced  
443 drivers reducing their rates of long glances to the secondary task display after the appearance of  
444 anticipatory cues while novices did not. Further, visual inspection of the data indicates that when the  
445 average timing of pre-event preparations is compared across drivers who exhibited pre-event  
446 preparations, experienced drivers' response was earlier than novices. Thus, compared to novices,  
447 experienced drivers may have been better at anticipating the upcoming events based on the cues and  
448 adjusting their attention allocation accordingly. However, a larger sample size is needed to further test  
449 these marginally significant effects, which are smaller in effect size.

450 Trust in automation may also have influenced experienced drivers' anticipatory behaviors. We  
451 did not find a relationship between drivers' trust in the automated driving systems and whether they  
452 exhibited anticipatory driving behaviors. However, considering that drivers in our experiment had limited  
453 experience with the automated driving systems both in the experiment and in their daily life, their initial  
454 trust in and reliance on the automated driving systems might be based on their attitudes toward  
455 automation in general (Lee & Kolodge, 2020; Lee & See, 2004). Experienced drivers reported lower  
456 trust-related complacency toward commonly encountered automated devices compared to novices, which  
457 might in part explain their higher likelihood of taking over or preparing to take over from the automation  
458 prior to an event. Further, as mentioned previously, experienced drivers made fewer long glances to the  
459 secondary task after anticipatory cues appeared, a result that approached significance, suggesting that  
460 their lower trust may have led to lower reliance on automation before traffic events; lower secondary task  
461 engagement has been used as an indicator of lower reliance on driving automation (Körber et al., 2018).  
462 However, research with larger samples is needed to further explore the relationship between trust,  
463 anticipation, and reliance in automated vehicles.

464 In summary, the findings from this study provide new insights on the role of driving experience  
465 and secondary task engagement in automated vehicles. Previous research showed that driving experience  
466 impacts drivers' behaviors at the operational level in automated vehicles (e.g., speed control; Young &

467 Stanton, 2007). Our research extends this finding by investigating the influence of driving experience and  
468 the presence of a secondary task on drivers' behaviors at the tactical level (i.e., the anticipation of  
469 upcoming traffic events). Engagement in a secondary task was found to impede anticipation, which can in  
470 turn lead to safety degradations. Adaptive interfaces that limit the availability of secondary tasks based on  
471 an estimation of driving demands may help improve driving safety in automated vehicles (DeGuzman et  
472 al., in press). For example, connected vehicle technology can be leveraged to gain information about  
473 traffic situations ahead that may require driver action. If such a situation is detected, the system can lock  
474 in-vehicle interfaces to reduce distraction. Driving experience was found to facilitate anticipation,  
475 potentially because experienced drivers are better able to interpret cues in the environment that indicate  
476 upcoming traffic events. Thus, training or in-vehicle interfaces that aim to improve drivers' ability to  
477 identify and interpret cues in the environment may improve driving safety in automated vehicles by  
478 facilitating anticipation. For example, similar to what has been proposed in non-automated vehicles (e.g.,  
479 Stahl et al., 2016; Unverricht et al., 2018), interfaces for automated vehicles could direct drivers' attention  
480 to potential hazards and/or anticipatory cues (He et al., 2021).

481         It is important to reiterate that in all of our scenarios, the automation could handle the event  
482 without intervention from the driver. Thus, it is possible that some drivers could have anticipated the  
483 upcoming events but chose not to disengage the automation or prepare to take an action. These drivers  
484 may be those who have higher trust in and reliance on the automation. Future research can try to identify  
485 anticipatory but non-reactive drivers by incorporating further measures (e.g., post-experiment  
486 questionnaires regarding understanding of the scenarios). Further, we used a limited range of scenarios,  
487 and in these scenarios a change of speed was always an appropriate response, whereas steering was  
488 appropriate only in one. Thus, it is not surprising that most of the anticipatory driving behaviors were foot  
489 movements, as drivers are likely more inclined to accelerate or decelerate using the gas and brake pedals  
490 than changing the automation setting via the steering wheel buttons. Future research may explore a wider  
491 variety of scenarios, for example, scenarios where swerving or changing lanes would be a better choice  
492 compared to a change of speed, to assess whether similar results are found in scenarios where hand



493 movements are preferred. Each participant experienced one automation failure in the practise drive in  
494 order to prime them for automation failures. In reality, drivers would have different levels of exposure to  
495 automation failures, which may lead to varied responses. Future research should consider varying the  
496 amount and type of exposure to automation failures (e.g., firsthand experience or verbal instruction), as  
497 how failures are experienced can determine drivers' trust and reliance on the automation (Beggiato &  
498 Krems, 2013). Lastly, in the current experiment, the automation could handle potential traffic conflicts  
499 without driver intervention. Driver behaviors might differ in more critical situations where driver  
500 intervention is necessary to avoid a collision (Eriksson & Stanton, 2017), and thus future studies need to  
501 investigate anticipatory driving behaviors in such critical situations.

## 502 **Key Points**

- 503 • In a simulated automated vehicle, the presence of a visual-manual secondary task was associated  
504 with a lower percentage of time looking at anticipatory cues that indicated an upcoming traffic  
505 event and a longer time to first glance at these cues, as well as a lower likelihood of exhibiting  
506 anticipatory driving behaviors.
- 507 • Experienced drivers exhibited more anticipatory driving behaviors than novice drivers; however,  
508 they were not found to allocate more visual attention toward anticipatory cues suggesting that  
509 they may have been more effective in interpreting these cues.
- 510 • In scenarios where an anticipatory driving behavior was observed, drivers spent a lower percent  
511 of time looking at the secondary task compared to scenarios where no anticipatory driving  
512 behaviors were observed. There appears to be a relation between reliance on automation and  
513 anticipatory driving.

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## 635 Biographies

636 **Dengbo He** is a postdoctoral fellow at the University of Toronto, Department of Mechanical &  
637 Industrial Engineering. He received his PhD in mechanical and industrial engineering from the  
638 University of Toronto in 2020.

639 **Chelsea A. DeGuzman** is a PhD student at the University of Toronto, Department of  
640 Mechanical & Industrial Engineering. She received her MASc in mechanical and industrial  
641 engineering from the University of Toronto in 2017.

642 **Birsan Donmez** is a Professor at the University of Toronto, Department of Mechanical &  
643 Industrial Engineering and is the NSERC Canada Research Chair in Human Factors and

644 Transportation. She received her PhD in industrial engineering from the University of Iowa in  
645 2007.